Nuclear physics in neutrino scattering

Cornell LEPP Journal Club

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Outline

- Introduction to MiniBooNE
- MiniBooNE nuclear simulation and surprises in data

- Anti-neutrinos! (my work)
 - the wrong-sign background
 - cross-section extraction

Conclusions

Introduction to MiniBooNE

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<u>MiniBooNE</u> Mini Booster Neutrino Experiment



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Booster Neutrino Beam



Booster Neutrino Beam



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Neutrino Flux

With external measurements of

$$\frac{d^2\sigma}{dp_{\pi}d\theta_{\pi}}(p + \mathrm{Be} \to \pi^{\pm} + X)$$

can predict $\nu,$ anti- ν flux at detector





 $\pi + \pi -$

- Dedicated π production data taken by HARP experiment (CERN)
- Spline fit to these data bring v flux uncertainty to ~9% level
 - (only valid for ν-parent π's constrained by these data important later!)

HARP collaboration, Eur. Phys. J. C52 29 (2007)

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MiniBooNE Detector

- 6.1 m radius sphere houses 800 tons of pure mineral oil
- Oil serves as both the nuclear target (CH₂) and medium for particle tracking and ID

- I 520 photomultiplier tubes (PMTs) uniformly dispersed in 2 tank regions:
 - 1280 inner signal
 - 280 outer veto



Nucl. Instr. Meth. A599, 28 (2009)

Particle ID

 PID and event reconstruction obtained primarily through topology and timing of PMT activity



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Nuclear Simulation

- MiniBooNE uses the Relativistic Fermi Gas model (RFG) Nucl. Phys. B43, 605 (1972)
 - Models nucleons as independent, quasi-free particles bound by a constant E_B
 - All struck (outgoing) nucleons subject to Pauli blocking. This is enforced by a global Fermi momentum k_F

That's it!

- Specifying E_B, k_F fully describes the RFG model it combines bare nucleon physics with a potential energy well and Pauli blocking.
- A quick calculation:
 - Nuclear density approximately constant: $R = r_0 A^{1/3}$
 - > The mean separation distance between nucleons is

$$\left(\frac{V}{A}\right)^{1/3} = \left(\frac{4\pi (1.2\,fm)^3}{3}\right)^{1/3} = 1.93\,fm$$

- The nucleon diameter is 1.25 fm.
- > Naïve to assume nucleon independence



Another way of saying



- The nucleus very likely has a rich structure the RFG falls short of approximating well
- We've seen evidence of this in MiniBooNE data

• Many experiments use the interaction v_{μ} + N -> μ + N' (Chargedcurrent Quasi-Elastic, or CCQE) to study neutrino oscillations due to it's simple multiplicity



- Many experiments use the interaction v_{μ} + N -> μ + N' (Charged-current Quasi-Elastic, or CCQE) to study neutrino oscillations due to it's simple multiplicity
- Crucial for osc. expt's: can reconstruct initial neutrino energy and momentum transfer based solely on observing the outgoing lepton (dominantly µ in MiniBooNE):

$$E_{\nu}^{QE} = \frac{2(M - E_{B})E_{\mu} - (E_{B}^{2} - 2ME_{B} + m_{\mu}^{2} + \Delta M^{2})}{2[(M - E_{B}) - E_{\mu} + p_{\mu}\cos\theta_{\mu}]}$$
$$Q_{QE}^{2} = -m_{\mu}^{2} + 2E_{\nu}^{QE}(E_{\mu} - p_{\mu}\cos\theta_{\mu})$$

• History of v physics inextricably tied to this interaction

• Bare-nucleon CCQE cross section:

Nucl. Phys. B43, 605 (1972)

$$\frac{d\sigma}{dQ^2} = \frac{M^2 G_F^2 |V_{ud}|^2}{8\pi E_v^2} \left[A(Q^2) \pm B(Q^2) \times \left(\frac{s-u}{M^2}\right) + C(Q^2) \times \left(\frac{s-u}{M^2}\right)^2 \right]$$

- A, B, C functions of vector and axial form factors
- Using conserved vector current we use form factors extracted from electron scattering for the vector contribution
- In this model, this leaves neutrino experiments one and only one parameter to measure, the axial mass $M_{\rm A}$

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Many neutrino experiments measured M_A by shooting high energy neutrino beams typically at bubble chamber detectors housing mostly light nuclear targets



 Measurements converged around M_A = 1.0 GeV

world average, these data: $M_A = 1.02 \pm 0.01 \text{ GeV}$

J. Phys.: Conf. Ser. 110 082004 (2008)

- Subsequent to understanding detector response and verifying event reconstruction algorithms on calibration data, MiniBooNE found surprises in this CCQE golden channel
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 - 2. Muon scattering angle shape wrong

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Implies cross section is the likely culprit In principle, this could be due to either flux or cross section mismodeling (remember v flux is constrained to _~9% error)



Finding a "solution" within the RFG



Finding a "solution" within the RFG



M_A tension



- M_A = 1.35 ± 0.17 GeV clearly disagrees with the measurements from light target data
- However...

arxiv: 1007.2195



M_A tension

| Experiment | Target | Cut in Q^2 [GeV ²] | $M_A[GeV]$ |
|------------------------|--------|----------------------------------|-----------------|
| K2K ⁴ | oxygen | $Q^2 > 0.2$ | 1.2 ± 0.12 |
| K2K ⁵ | carbon | $Q^2 > 0.2$ | 1.14 ± 0.11 |
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| NOMAD ⁸ | carbon | no cut | 1.07 ± 0.07 |

TABLE I. Recent M_A measurements

- More recent measurements have also observed higher values of M_A
- These measurements mostly from fitting Q² shapes
- M_A is important for overall normalization as well

Looking for alternatives

With the admission the RFG is inadequate, we look to more modern models...



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Total MiniBooNE cross section

- MiniBooNE CCQE cross section ~40% higher than most modern models (!)
- The first model to predict the observed excess includes a sizeable contribution from an unexpected source...



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New interaction?

• Possible M_A reconciliation: nuclear correlation effects in ¹²C result in an "extra" (v_{μ} + [n+p] -> μ^- + p + p) part of the CCQE cross section not present in light target experiments and indistinguishable from "true CCQE" (v_{μ} + n -> μ^{-} + p) in MiniBooNE



Support in electron scattering data

- Transverse current significantly greater than longitudinal in (e,e') data
- In RFG, $f_L = f_T$ (!!)
- Something like this should be in v scattering as well
 - at least in the vector part of the cross section



- No rigorous connection between v and (e,e') cross section yet
 - Axial enhancement?

Since then...

- Confirmation from independent groups that something like the multi-nucleon mechanism can account for observed enhancement
 - variety of different approaches represented here: parametrizations, extrapolations, and *ab initio* calculations
 Strong test of the 22^{×10⁻³⁹}
- Strong test of the underlying physics can be obtained with antineutrinos
 - Probe a different mix of axial, vector σ pieces. How might this new process contribute to antineutrinos?



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 - Predictions range by factor of 2!



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Conclusions
Before we get to σ 's: messy backgrounds

- Running mode defined by polarity of focusing horn
 - neutrinos a much larger problem for anti-neutrino running ("wrong-signs") than vice versa



Why so different?

 Both flux and cross-section effects conspire to suppress antineutrino interactions and amplify neutrinos

$$\frac{d\sigma}{dQ^2} = \frac{M^2 G_F^2 |V_{ud}|^2}{8\pi E_v^2} \left[A(Q^2) \pm B(Q^2) \times \left(\frac{s-u}{M^2}\right) + C(Q^2) \times \left(\frac{s-u}{M^2}\right)^2 \right]$$

Cross section: at MiniBooNE energy (~I GeV), v's around 3x as likely to scatter as anti-v's



 $\pi + \pi -$

Flux: positively-charged initial state naturally produces more ν parents $(\pi+)$ than anti- ν parents $(\pi-)$

Even worse

- MiniBooNE not magnetized (other expt's separate v species based on outgoing lepton charge)
- HARP π -production measurements do not help here
- v's form a large and uncertain
 background to the anti-v mode
 analyses: demands
 dedicated studies to
 assure anti-v cross
 sections and
 oscillation results not
 biased



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Background measurement philosophy

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- Three independent and complementary measurements of the v_{μ} background:
 - Fitting the angular distribution of the CCQE sample for the neutrino and anti-neutrino content
 - 2. Comparing predicted to observed event rates in the $CC\pi^+$ sample
 - 3. Measuring how often muon decay electrons are produced (exploits μ^{-} nuclear capture)

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First measurement of the v_{μ} content of a \overline{v}_{μ} beam using a non-magnetized detector. Phys. Rev. D81: 072005 (2011)

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First measurement of the v_{μ} content of a \overline{v}_{μ} beam using a non-magnetized detector. **Phys. Rev. D81: 072005 (2011)**

in draft

 Interference term in "canonical CCQE" model not only causes rate difference, but large kinematic asymmetry as well

$$\frac{d\sigma}{dQ^2} = \frac{M^2 G_F^2 |V_{ud}|^2}{8\pi E_v^2} \left[A(Q^2) \pm B(Q^2) \times \left(\frac{s-u}{M^2}\right) + C(Q^2) \times \left(\frac{s-u}{M^2}\right)^2 \right]$$

 The divergence is more pronounced at higher
 Q², which is strongly correlated with
 backward scattering muons



• We form a linear combination of the neutrino and antineutrino content to fit the CCQE data:



- Results indicate the v_{μ} flux is over-predicted by ~30%
- Consistency checks:
 - I. Fit to data in exclusive energy regions
 - 2. Fit Θ_{μ} instead of $\cos \Theta_{\mu}$
 - Linear fit to data is analytic - can numerically check results
 - Check fits as a function of run # (systematic shift in the detector?)



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Results all consistent with a uniform reduction of the ν_{μ} flux compared to the (highly uncertain) prediction

- Three independent and complementary measurements of the wrong-sign background:
 - I. Fitting the angular distribution of the CCQE sample for the neutrino and anti-neutrino content
 - 2. Comparing predicted to observed event rates in the $CC\pi^+$ sample
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From lepton and charge conservation, the single- π production mechanism (mostly via resonance) results in π + for ν_{μ} scattering, π - for anti- ν_{μ} interactions $\nu_{\mu}N \rightarrow \mu^{-}\pi^{+}N$ $\bar{\nu}_{\mu}N \rightarrow \mu^{+}\pi^{-}N$



 Three observable leptons

- I. Primary muon
- 2. Decay electron
- 3. Decay positron





- Due to nuclear π⁻ capture, the corresponding antineutrino interaction has only two:
 - I. Primary muon
 - 2. Decay positron



- Require two decay electrons after the primary muon, get a sample that is ~80% pure v_u.
- Data/simulation ratios in bins of reconstructed energy indicate the neutrino flux is over-predicted in normalization, while the simulated spectrum looks fine

CCπ+ σ measurement: Phys. Rev. D83, 052007 (2011)

| E_{v}^{Δ} (MeV) | $ u_{\mu} \Phi$ scale |
|------------------------|-----------------------|
| 600 - 700 | 0.65 ± 0.10 |
| 700 - 800 | 0.79 ± 0.10 |
| 800 - 900 | 0.81 ± 0.10 |
| 900 - 1000 | 0.88 ± 0.11 |
| 1000 - 1200 | 0.74 ± 0.10 |
| 1200 - 2400 | 0.73 ± 0.15 |
| Inclusive | 0.76 ± 0.11 |

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μ^{-} capture measurement

- Charged-current events typically observe both the prompt µ and its decay electron - two reasons why we may not see the electron:
 - I. electron detection efficiency
 - 2. μ^{-} nuclear capture (ν_{μ} events only)
- * We isolate μ -only and μ +e samples

μ^{-} capture measurement

Predicted sample composition:

observe
$$\mu$$
 only
$$\begin{array}{c} & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & &$$

 Scale the two contributions to match data simultaneously in both samples (two eqns, two unknowns)

$$\mu \text{ only}^{\text{data}} = \left(\alpha_{\nu} \nu^{\mu \text{ only}} + \alpha_{\bar{\nu}} \bar{\nu}^{\mu \text{ only}} \right)^{\text{sim.}}$$
$$\mu + e^{\text{data}} = \left(\alpha_{\nu} \nu^{\mu+e} + \alpha_{\bar{\nu}} \bar{\nu}^{\mu+e} \right)^{\text{sim.}}$$

μ^{-} capture measurement

Predicted sample composition:

| observe μ only | | | |
|--------------------|--------------------|------------------------|--|
| | \mathbf{v}_{μ} | $\overline{\nu}_{\mu}$ | |
| observe μ +e | | | |

 Scale the two contributions to match data simultaneously in both samples (two eqns, two unknowns)

| | Parameter | $E_{\nu}^{QE} \text{ (GeV)}$ | | | |
|----------|-----------------|------------------------------|-----------------|-----------------|--|
| Results: | | < 0.9 | > 0.9 | All | |
| | $lpha_{ u}$ | 0.79 ± 0.14 | 0.81 ± 0.16 | 0.80 ± 0.13 | |
| | $lpha_{ar{ u}}$ | 1.14 ± 0.22 | 1.14 ± 0.22 | 1.14 ± 0.22 | |

ν_{μ} measurement summary



Discrepancy with prediction appears to be in normalization only - simulated ν_{μ} shape in energy seems fine.

v_{μ} measurement summary



Discrepancy with prediction appears to be in normalization only - simulated v_{μ} shape in energy seems fine.

On to the fun stuff

• v_{μ} background now constrained to sub-dominant uncertainty, can now turn to finding the anti- v_{μ} CCQE cross section



σ calculation

Relatively straightforward:



σ calculation

- Systematic uncertainties evaluated by "many universe method": σ recalculated many times varying the underlying processes and parameters affecting the measurement according to the level of their accuracy
 - e.g. flux, bkg knowledge etc. Correlations included.

$$\frac{d^2\sigma}{dT_{\mu}d(\cos\theta_{\mu})} = \frac{\sum_{j}U_{ij}^k(d_j - b_j^k)}{\Delta T_{\mu}\Delta(\cos\theta_{\mu})\epsilon_i^k\Phi^kT^k}$$

 \blacktriangleright Difference between these alternate calculations and the "best guess" σ sets the systematic uncertainty

Primary anti-neutrino CCQE result

Fully exploits MiniBooNE's unprecedented statistics

• more than 10x all previously published anti- v_{μ} CCQE measurements combined!





Future tests of new mechanism

- If multi-nucleon correlations are responsible, must confirm this with direct experimental evidence
 - theory community seems to agree this is the source, but?
- Will rely heavily on tracking detectors to test hadronic side
 - MiniBooNE and other Cherenkov detectors mostly blind to hadrons
 - Very recently: "Argoneut" LarTPC detector showed it can resolve 21 MeV protons!



K. Partyka, Nulnt I 2

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Conclusions

- MiniBooNE has measured a surprisingly high CCQE cross section for both neutrinos and anti-neutrinos relative to previous expectations
 - Other expt's have observed similar enhancements
- > The anti-neutrino analysis required a rigorous and novel series of ν_{μ} background measurements. First measurements without a magnetic field!
- \blacktriangleright "New" nuclear physics may account for the σ discrepancy, but time will tell
 - Previously overlooked support for this process in electron scattering data for decades
- It's an exciting time for v interaction physics!



Booster Neutrino Beamline

- Three stages:
 - I. Cockroft-Walton
 - 2. Linac
 - 3. Booster Ring



FERMILAB'S ACCELERATOR CHAIN
- Pulsed DC signal switches polarity in tune with diodes coming on/off. This allows voltage doubling at each successive stage.
- Details:

Initially DC signal negative, allows charge from ground to pile on first capacitor. When DC current switches, Ist diode switches off, 2nd diode switches on and the 2nd capacitor receives charge from



both first DC signal and 1st capacitor. When DC signal switches again, 2nd capacitor has twice the charge the 1st capacitor did.

Assuming perfect capacitors,

Charge on *n*th capacitor = $2 \times n \times (\text{input voltage})$

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- Hydrogen atoms injected into ionization care of strong E field created by CW ladder.
- Electron stipped off hydrogen, bare proton drifts to Cesium edge of chamber.
- Electrons easily ripped off Cesium (low work function), occasionally an incoming proton knocks off resting proton with

two electrons (H⁻), because negatively charged, H⁻ drifts away from wall, on to the linear accelerator.



- Alternately polarized electric field accelerates H⁻ ions in between gaps of Faraday cage drift tubes
- I 30 m long
- Typical pulse length 20 ms
- Beam bunches spaced 5 ns apart
- H⁻ ions accelerated to 400 MeV KE

- H⁻ ion beam bent to accelerate along with proton beam in ring (beams converge in this region instead of diverge
 sole reason for starting with H⁻ instead of p)
- Both beams incident in thin carbon foil this strips electrons while not slowing down protons.
- Booster turns protons using alternating focusing defocusing quadrupole magnets
- Booster cirumference: 475 m (~3/40 circ. of Tevatron)
- Proton KE: 400 MeV -> 8 GeV in 33 ms, 16,000 turns





However, comparing to $\sigma(E_\nu)$ not sufficient - kinematics very important

- Main result from MiniBooNE CCQE analysis is the model-independent double-differential cross section as a function of outgoing muon energy, angle
- So far, varying degrees of compatibility with dbl-nucleon knockout model





Enhancement in electron scattering data

 "Super Scaling": For A ≥ 12, nuclear density approximately constant
 does a simple scaling describe results from one nucleus to another?



$$\psi = \frac{m_N}{k_F} \left(\lambda \sqrt{1 + \tau^{-1}} - \kappa \right)$$

$$\lambda = \frac{\omega}{2m_N}; \quad \tau = \frac{Q^2}{4m_N^2}; \quad \kappa = \frac{q}{2m}$$

- Scales approximately linearly for different nuclear targets, momentum transfer and ψ < 0
- Divergent for $\psi > 0$

Enhancement in electron scattering data

- (e,e') scattering data decades old shows the transverse part of the (e,e') cross section scales with momentum transfer
 - CCQE cross section enhancement due to increasing M_A also grows with momentum transfer



μ^- capture measurement

- ~8% of stopped μ⁻ captures on ¹²C, but some nuclear deexcitation products (γ's,n's) can "fake" electron
 - "regain" Michel-like event
 following ~6% of μ⁻ captures
- * ν-mode data has very little wrong-sign contribution, so we use the observed µ+e to µ-only migration rate to calibrate nuclear deexcitation and Michel detection models



Enhancement in electron scattering data

- Some quotes from T.W. Donnelly, I. Sick, Phys. Rev. C60, 065502 (1999):
 - "If the reaction mechanism in the quasielastic region is strictly (quasifree) knockout of protons and neutons, then one has $F_L(\psi) = F_T(\psi) = F(\psi)...$ "
 - "The presence of large excess transverse strength below the π threshold means that some other mechanism must be identified as its source"

More electron scattering support for NN correlations

Recent Jefferson Lab (USA) experiment:

- scatter electron beam on ¹²C foil, observe final state electron only in a special kinematic region: $x_B = Q^2/2m\omega = 1.2$; x_B is Bjorken scaling variable, "the fraction of nucleon momentum carried by struck quark".
- > x_B > I means struck quark carries more momentum than the entire nucleon, implying NN correlation



More electron scattering support for NN correlations

• Results: ~20% of nucleons in correlated states, mostly n-p pairs, which for v_{μ} CCQE interactions lead to p-p in final state



Science 320, 1476 (2008)

Future experimental tests

- Smaller enhancement predicted for anti-neutrinos
 - MiniBooNE
- Tracking detectors sensitive to multi-proton final states
 - ArgoNeut, MINERvA, NOMAD, T2K ND
- Should strive for modelindependent measurements



Model dependence?

- The μ +e sample is ~60% anti- v_{μ} , how much model dependence enters from anti- $v_{\mu} \sigma$'s?
- * Flux measurement negligibly sensitive to anti- $v_{\mu} \sigma$: model would have to be wrong by > 50% to see an impact on extracted $v_{\mu} \Phi$ (it's not)



Using your own σ measurements

- Most detector errors cancel by correcting anti-ν mode MC for σ's observed in the ν exposure
- Similar to two-detector osc experiments, but instead of I beam + 2 detectors, we use
 2 beams + I detector
- uncertainty dominated by v-mode
 • knowledge and stats



R. Nelson

μ

 Φ measurement insensitive to FSI!

Carbon

Some double-differential comparisons



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Model dependence

- Though the v_{μ} CCQE cross section is known (from our measurement), the result is correlated to the (*a priori* unknown) anti- v_{μ} distribution and therefore biased
- Many exp't and theory improvements recently, σ
 knowledge will improve and this technique could be very powerful in the future



Outgoing μ kinematics

With plenty of statistics taken (more than all events from all previous CCQE scattering experiments combined!), able to strongly comment on the muon scattering shape problem



- $\succ \Phi(E_{\nu})$
- Lines of data/prediction discrepancy generally follow lines of constant Q^2 , not E_{v}

M_A tension



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arxiv: 1007.2195



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Comparison to NOMAD

- \blacktriangleright NOMAD one of the few other neutrino σ measurements with absolute flux knowledge
 - Normalized from deep inelastic scattering (v_{μ} + ¹²C -> μ^- + X) and inverse muon decay (v_{μ} + e⁻ -> μ^- + v_e) events
- Same nuclear target as MiniBooNE (¹²C), much higher energy, and absolute cross section measurement consistent with expectations!





Determining E_B , k_F

- Electron scattering data on ¹²C as a function of energy transfer informs both parameters:
 - Peak of energy transfer distribution represents scattering off nucleons at rest. This position is shifted from the same position in free nucleon by the binding energy appropriate to (e,e') neutral current scattering
 - 2. Fermi momentum k_F set by the width of the distribution



Determining E_B , k_F

• E_B for neutrino charged-current (v + N -> I[±] + X) interactions distinct from neutral-current (e + N -> e + N) E_B , as separation energy between final, initial states are different



Determining E_B , k_F

How much different? The splitting can be estimated by the symmetry term in the semi-empirical mass formula:

 $E_{s}=28(A-2Z)^{2}/A MeV$

